

Custody & Corporate Actions: Characteristics & Markets

course description

Custody is an extremely important area in securities processing. The wide variety of activities that belong to custody make it one of the most difficult areas in financial services. By focusing on custody in general and corporate actions in particular, this course is designed to give participants a good understanding of the activities that are performed by custodian banks today. Although in a strict sense the term corporate actions applies to actions undertaken by corporate entities on behalf of their shareholders (i.e., limited to equities and company-type investment funds), this course covers not only equities, but also the events impacting debt instruments and all types of investment funds.

The objective of the course is to provide participants with a good understanding of the most important corporate actions, such as dividends, splits, exchanges, mergers, annual and extraordinary general meetings of shareholders. A key objective of the course is to establish clear definitions and terminology for the custody area. Although no previous knowledge of custody or corporate actions is required, participants should have at least a basic understanding of equities, debt instruments and investment funds (cf., the course Financial Instruments: Characteristics and Markets).

learning objectives

By the end of this course, delegates will have a good understanding of

- the importance of custody and corporate actions
- the principal activities of custodian banks
- the different types of corporate actions for equities, debt instruments and investment funds
- how corporate actions are calculated
- general market practice and the players in the custody area
- shareholder activism and voting
- how corporate actions are processed and paid
- standards in the custody area
- trends that will impact the future of custody and corporate actions

target audience

Anyone working in the area of settlement, custody, portfolio management or fund accounting; anyone who needs to understand custody and corporate actions.

duration

1 day for up to 12 participants.

Registration Form

Custody & Corporate Actions: Characteristics & Markets Luxembourg, 19 March 2010

Form to be completed by training department / line manager:

Name: _____ First Name: _____

Company: _____

Position: _____

Telephone: _____ Fax: _____

Email: _____

Register the following person for the training course:

Name / first name / position

Mrs/Miss/Mr _____

Mrs/Miss/Mr _____

Mrs/Miss/Mr _____

Mrs/Miss/Mr _____

Billing Address: _____

I have read and accept the terms and conditions.

Signature: _____ Date: _____

Please return this form by fax to : +352 – 22 55 99 99 or +352 22 06 39

Terms & Conditions:

Fees

The rate for the training course is 400 Euro per person per day. The fee includes all supporting material, lunch and refreshments.

Payment

The course fee must be paid in full on receipt of invoice. An invoice will be dispatched after completion of training.

Cancellation Policy

Courses that have been booked may be cancelled up to 10 business days before the scheduled date. If the course is cancelled after that time, it will be subject to a cancellation fee of 50% of the fees due up to 5 business days before the scheduled date and 100% after that time.

fast training reserves the right to delay or cancel training course at any time without liability. In these circumstances, participants will be offered an alternative date.

Contacts:

For further information, please contact the fast training team:

Telephone: +352 22 55 99 – 50

Fax: +352 22 55 99 – 99

Email: info@fast.lu

Registration Form

Transitioning from team member to team leader Luxembourg, 15 March 2010

Form to be completed by training department / line manager:

Name: _____ First Name: _____

Company: _____

Position: _____

Telephone: _____ Fax: _____

Email: _____

Register the following person for the training course:

Name / first name / position

Mrs/Miss/Mr _____

Mrs/Miss/Mr _____

Mrs/Miss/Mr _____

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